

08-27-01

N SECTOR#  
A3

DOCKET NO.: UPN-3827

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

Amos B. Smith, III  
Thomas J. Beuchamp  
Matthew J. LaMarche

**Serial No.:** 09/730,929

**Group No.:** 1626

**Filing Date:** December 6, 2000

**Examiner:** Not yet assigned

**For:** Compounds Which Mimic the Chemical and Biological Properties of  
Discodermolide

**Express Mail Label No.:** EL 568090745 US

**Date of Deposit:** August 24, 2001

EL 568090745US

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated January 25, 2001, a response to which is due August 25, 2001, enclosed herewith for filing  
is:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL 568027844 US on December 6, 2000.
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Basic Filing Fee of \$945 is enclosed together with the \$65 surcharge.
- ☐ Request for Corrected Filing Receipt.

08/29/2001 AWONDAF1 00000002 09730929

02 FC:228

945.00 0P

- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.
- ☐ \_\_\_\_\_ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: \_\_\_\_\_
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of January 25, 2001 to and through August 25, 2001, comprising an extension of the shortened period of five (5) months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$65	\$130	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$195	\$	\$390	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$445	\$	\$890	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$695	\$	\$1390	\$
<input checked="" type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$945	\$945	\$1890	\$
TOTAL FEE DUE		\$1010		

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

Total fee required \$1010


- ☒ A check in the amount of \$65 is attached to cover the surcharge. Please charge any

deficiency or credit any overpayment to Deposit Account No. 23-3050.

- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
  - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: August 24, 2001

  
\_\_\_\_\_  
Gregory L. Hillyer  
Registration No. 44,154

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/730,929	12/06/2000	Amos B. Smith III	UPN-3827

CONFIRMATION NO. 7967

## FORMALITIES LETTER



\*OC000000005707050\*

Gregory L. Hillyer  
Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103

Date Mailed: 01/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/29/2001 AWONDAF1 00000002 09730929

01 FC:205

65.00 DP

*A copy of this notice MUST be returned with the reply.*

Seble Bekele

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE